

# On rings with divided nil ideal: a survey

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**Abstract.** Let  $R$  be a commutative ring with  $1 \neq 0$  and  $\text{Nil}(R)$  be its set of nilpotent elements. Recall that a prime ideal of  $R$  is called a *divided prime* if  $P \subset (x)$  for every  $x \in R \setminus P$ ; thus a divided prime ideal is comparable to every ideal of  $R$ . In many articles, the author investigated the class of rings  $\mathcal{H} = \{R \mid R \text{ is a commutative ring and } \text{Nil}(R) \text{ is a divided prime ideal of } R\}$  (Observe that if  $R$  is an integral domain, then  $R \in \mathcal{H}$ .) If  $R \in \mathcal{H}$ , then  $R$  is called a  $\phi$ -ring. Recently, David Anderson and the author generalized the concept of Prüfer domains, Bezout domains, Dedekind domains, and Krull domains to the context of rings that are in the class  $\mathcal{H}$ . Also, Lucas and the author generalized the concept of Mori domains to the context of rings that are in the class  $\mathcal{H}$ . In this paper, we state many of the main results on  $\phi$ -rings.

**Keywords.** Prüfer ring,  $\phi$ -Prüfer ring, Dedekind ring,  $\phi$ -Dedekind ring, Krull ring,  $\phi$ -Krull ring, Mori ring,  $\phi$ -Mori ring, divided ring.

**AMS classification.** 13F05, 13A15.

## 1 Introduction

Let  $R$  be a commutative ring with  $1 \neq 0$  and  $\text{Nil}(R)$  be its set of nilpotent elements. Recall from [26] and [7] that a prime ideal of  $R$  is called a *divided prime* if  $P \subset (x)$  for every  $x \in R \setminus P$ ; thus a divided prime ideal is comparable to every ideal of  $R$ . In [6], [8], [9], [10], and [11], the author investigated the class of rings  $\mathcal{H} = \{R \mid R \text{ is a commutative ring and } \text{Nil}(R) \text{ is a divided prime ideal of } R\}$ . (Observe that if  $R$  is an integral domain, then  $R \in \mathcal{H}$ .) If  $R \in \mathcal{H}$ , then  $R$  is called a  $\phi$ -ring. Recently, David Anderson and the author, [3] and [4], generalized the concept of Prüfer, Bezout domains, Dedekind domains, and Krull domains to the context of rings that are in the class  $\mathcal{H}$ . Also, Lucas and the author, [17], generalized the concept of Mori domain to the context of rings that are in the class  $\mathcal{H}$ . Yet, another paper by Dobbs and the author [14] investigated going-down  $\phi$ -rings. In this paper, we state many of the main results on  $\phi$ -rings.

We assume throughout that all rings are commutative with  $1 \neq 0$ . Let  $R$  be a ring. Then  $T(R)$  denotes the total quotient ring of  $R$ , and  $Z(R)$  denotes the set of zerodivisors of  $R$ . We start by recalling some background material. A non-zerodivisor of a ring  $R$  is called a *regular element* and an ideal of  $R$  is said to be *regular* if it contains a regular element. An ideal  $I$  of a ring  $R$  is said to be a *nonnil ideal* if  $I \not\subseteq \text{Nil}(R)$ . If  $I$  is a nonnil ideal of a ring  $R \in \mathcal{H}$ , then  $\text{Nil}(R) \subset I$ . In particular, this holds if  $I$  is a regular ideal of a ring  $R \in \mathcal{H}$ .

Recall from [6] that for a ring  $R \in \mathcal{H}$  with total quotient ring  $T(R)$ , the map  $\phi : T(R) \rightarrow R_{\text{Nil}(R)}$  such that  $\phi(a/b) = a/b$  for  $a \in R$  and  $b \in R \setminus Z(R)$  is

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a ring homomorphism from  $T(R)$  into  $R_{\text{Nil}(R)}$ , and  $\phi$  restricted to  $R$  is also a ring homomorphism from  $R$  into  $R_{\text{Nil}(R)}$  given by  $\phi(x) = x/1$  for every  $x \in R$ . Observe that if  $R \in \mathcal{H}$ , then  $\phi(R) \in \mathcal{H}$ ,  $\text{Ker}(\phi) \subseteq \text{Nil}(R)$ ,  $\text{Nil}(T(R)) = \text{Nil}(R)$ ,  $\text{Nil}(R_{\text{Nil}(R)}) = \phi(\text{Nil}(R)) = \text{Nil}(\phi(R)) = Z(\phi(R))$ ,  $T(\phi(R)) = R_{\text{Nil}(R)}$  is quasilo-cal with maximal ideal  $\text{Nil}(\phi(R))$ , and  $R_{\text{Nil}(R)}/\text{Nil}(\phi(R)) = T(\phi(R))/\text{Nil}(\phi(R))$  is the quotient field of  $\phi(R)/\text{Nil}(\phi(R))$ .

Recall that an ideal  $I$  of a ring  $R$  is called a *divisorial ideal* of  $R$  if  $(I^{-1})^{-1} = I$ , where  $I^{-1} = \{x \in T(R) \mid xI \subseteq R\}$ . If a ring  $R$  satisfies the ascending chain condition (a.c.c.) on divisorial regular ideals of  $R$ , then  $R$  is called a *Mori ring* in the sense of [46]. An integral domain  $R$  is called a *Dedekind domain* if every nonzero ideal of  $R$  is invertible, i.e., if  $I$  is a nonzero ideal of  $R$ , then  $II^{-1} = R$ . If every finitely generated nonzero ideal  $I$  of an integral domain  $R$  is invertible, then  $R$  is said to be a *Prüfer domain*. If every finitely generated regular ideal of a ring  $R$  is invertible, then  $R$  is said to be a *Prüfer ring*. If  $R$  is an integral domain and  $x^{-1} \in R$  for each  $x \in T(R) \setminus R$ , then  $R$  is called a *valuation domain*. Also, recall from [29] that an integral domain  $R$  is called a *Krull domain* if  $R = \bigcap V_i$ , where each  $V_i$  is a discrete valuation overring of  $R$ , and every nonzero element of  $R$  is a unit in all but finitely many  $V_i$ . Many characterizations and properties of Dedekind and Krull domains are given in [29], [30], and [40]. Recall from [32] that an integral domain  $R$  with quotient field  $K$  is called a *pseudo-valuation domain (PVD)* in case each prime ideal of  $R$  is *strongly prime* in the sense that  $xy \in P$ ,  $x \in K$ ,  $y \in K$  implies that either  $x \in P$  or  $y \in P$ . Every valuation domain is a pseudo-valuation domain. In [13], Anderson, Dobbs and the author generalized the concept of pseudo-valuation rings to the context of arbitrary rings. Recall from [13] that a prime ideal  $P$  of  $R$  is said to be *strongly prime* if either  $aP \subset bR$  or  $bR \subset aP$  for all  $a, b \in R$ . A ring  $R$  is said to be a *pseudo-valuation ring (PVR)* if every prime ideal of  $R$  is a strongly prime ideal of  $R$ .

Throughout the paper, we will use the technique of idealization of a module to construct examples. Recall that for an  $R$ -module  $B$ , the idealization of  $B$  over  $R$  is the ring formed from  $R \times B$  by defining addition and multiplication as  $(r, a) + (s, b) = (r + s, a + b)$  and  $(r, a)(s, b) = (rs, rb + sa)$ , respectively. A standard notation for the “idealized ring” is  $R(+B)$ . See [38] for basic properties of these rings.

## 2 $\phi$ -pseudo-valuation rings and $\phi$ -chained rings

In [6], the author generalized the concept of pseudo-valuation domains to the context of rings that are in  $\mathcal{H}$ . Recall from [6] that a ring  $R \in \mathcal{H}$  is said to be a  *$\phi$ -pseudo-valuation ring ( $\phi$ -PVR)* if every nonnil prime ideal of  $R$  is a  *$\phi$ -strongly prime ideal* of  $\phi(R)$ , in the sense that  $xy \in \phi(P)$ ,  $x \in R_{\text{Nil}(R)}$ ,  $y \in R_{\text{Nil}(R)}$  (observe that  $R_{\text{Nil}(R)} = T(\phi(R))$ ) implies that either  $x \in \phi(P)$  or  $y \in \phi(P)$ . We state some of the main results on  $\phi$ -pseudo-valuation rings.

**Theorem 2.1** ([8, Proposition 2.1]). *Let  $D$  be a PVD and suppose that  $P, Q$  are prime ideal of  $D$  such that  $P$  is properly contained in  $Q$ . Let  $d \geq 1$  and choose  $x \in D$  such that  $\text{Rad}(xD) = P$ . Then  $J = x^{d+1}D_Q$  is an ideal of  $D$  and hence  $D/J$  is a PVR*

with the following properties:

- (i)  $\text{Nil}(R) = P/J$  and  $x^d \notin J$ ;
- (ii)  $Z(R) = Q/J$ .

**Theorem 2.2** ([8, Corollary 2.7]). *Let  $d \geq 2$ ,  $D, P, Q, x, J$ , and  $R$  be as in Theorem 2.1. Set  $B = R_{\text{Nil}(R)}$ . Then the idealization ring  $R(+ )B$  is a  $\phi$ -PVR that is not a PVR.*

**Theorem 2.3** ([10, Proposition 2.9], also see [23, Theorem 3.1]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -PVR if and only if  $R/\text{Nil}(R)$  is a PVD.*

Recall from [9] that a ring  $R \in \mathcal{H}$  is said to be a  $\phi$ -chained ring ( $\phi$ -CR) if for each  $x \in R_{\text{Nil}(R)} \setminus \phi(R)$  we have  $x^{-1} \in \phi(R)$ . A ring  $A$  is said to be a *chained ring* if for every  $a, b \in A$ , either  $a \mid b$  (in  $A$ ) or  $b \mid a$  (in  $A$ ).

**Theorem 2.4** ([9, Corollary 2.7]). *Let  $d \geq 2$ ,  $D$  be a valuation domain,  $P, Q, x, J, R$  be as in Theorem 2.1. Then  $R = D/J$  is a chained ring. Furthermore, if  $B = R_{\text{Nil}(R)}$ , then the idealization ring  $R(+ )B$  is a  $\phi$ -CR that is not a chained ring.*

**Theorem 2.5** ([9, Proposition 3.3]). *Let  $R \in \mathcal{H}$  be a quasi-local ring with maximal ideal  $M$  such that  $M$  contains a regular element of  $R$ . Then  $R$  is a  $\phi$ -PVR if and only if  $(M : M) = \{x \in T(R) \mid xM \subset M\}$  is a  $\phi$ -CR with maximal ideal  $M$ .*

**Theorem 2.6** ([3, Theorem 2.7]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -CR if and only if  $R/\text{Nil}(R)$  is a valuation domain.*

Recall that  $B$  is said to be an *overring* of a ring  $A$  if  $B$  is a ring between  $A$  and  $T(A)$ .

**Theorem 2.7** ([10, Corollary 3.17]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -PVR with maximal ideal  $M$ . The following statements are equivalent:*

- (i) Every overring of  $R$  is a  $\phi$ -PVR;
- (ii)  $R[u]$  is a  $\phi$ -PVR for each  $u \in (M : M) \setminus R$ ;
- (iii)  $R[u]$  is quasi-local for each  $u \in (M : M) \setminus R$ ;
- (iv) If  $B$  is an overring of  $R$  and  $B \subset (M : M)$ , then  $B$  is a  $\phi$ -PVR with maximal ideal  $M$ ;
- (v) If  $B$  is an overring of  $R$  and  $B \subset (M : M)$ , then  $B$  is quasi-local;
- (vi) Every overring of  $R$  is quasi-local;
- (vii) Every  $\phi$ -CR between  $R$  and  $T(R)$  other than  $(M : M)$  is of the form  $R_P$  for some non-maximal prime ideal  $P$  of  $R$ ;
- (viii)  $R' = (M : M)$  (where  $R'$  is the integral closure of  $R$  inside  $T(R)$ ).

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### 3 Nonnil Noetherian rings ( $\phi$ -Noetherian rings)

Recall that an ideal  $I$  of a ring  $R$  is said to be a nonnil ideal if  $I \not\subseteq \text{Nil}(R)$ . Let  $R \in \mathcal{H}$ . Recall from [11] that  $R$  is said to be a *nonnil-Noetherian ring* or just a  *$\phi$ -Noetherian ring* as in [16] if each nonnil ideal of  $R$  is finitely generated. We have the following results.

**Theorem 3.1** ([11, Corollary 2.3]). *Let  $R \in \mathcal{H}$ . If every nonnil prime ideal of  $R$  is finitely generated, then  $R$  is a  $\phi$ -Noetherian ring.*

**Theorem 3.2** ([11, Theorem 2.4]). *Let  $R \in \mathcal{H}$ . The following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Noetherian ring;
- (ii)  $R/\text{Nil}(R)$  is a Noetherian domain;
- (iii)  $\phi(R)/\text{Nil}(\phi(R))$  is a Noetherian domain;
- (iv)  $\phi(R)$  is a  $\phi$ -Noetherian ring.

**Theorem 3.3** ([11, Theorem 2.6]). *Let  $R \in \mathcal{H}$ . Suppose that each nonnil prime ideal of  $R$  has a power that is finitely generated. Then  $R$  is a  $\phi$ -Noetherian ring.*

**Theorem 3.4** ([11, Theorem 2.7]). *Let  $R \in \mathcal{H}$ . Suppose that  $R$  is a  $\phi$ -Noetherian ring. Then any localization of  $R$  is a  $\phi$ -Noetherian ring, and any localization of  $\phi(R)$  is a  $\phi$ -Noetherian ring.*

**Theorem 3.5** ([11, Theorem 2.9]). *Let  $R \in \mathcal{H}$ . Suppose that  $R$  satisfies the ascending chain condition on the nonnil finitely generated ideals. Then  $R$  is a  $\phi$ -Noetherian ring.*

**Theorem 3.6** ([11, Theorem 3.4]). *Let  $R$  be a Noetherian domain with quotient field  $K$  such that  $\dim(R) = 1$  and  $R$  has infinitely many maximal ideals. Then  $D = R(+)K \in \mathcal{H}$  is a  $\phi$ -Noetherian ring with Krull dimension one which is not a Noetherian ring. In particular,  $\mathbb{Z}(+)\mathbb{Q}$  is a  $\phi$ -Noetherian ring with Krull dimension one which is not a Noetherian ring (where  $\mathbb{Z}$  is the set of all integer numbers with quotient field  $\mathbb{Q}$ ).*

**Theorem 3.7** ([11, Theorem 3.5]). *Let  $R$  be a Noetherian domain with quotient field  $K$  and Krull dimension  $n \geq 2$ . Then  $D = R(+)K \in \mathcal{H}$  is a  $\phi$ -Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring. In particular, if  $K$  is the quotient field of  $R = \mathbb{Z}[x_1, \dots, x_{n-1}]$ , then  $R(+)K$  is a  $\phi$ -Noetherian ring with Krull dimension  $n$  which is not a Noetherian ring.*

In the following result, we show that a  $\phi$ -Noetherian ring is related to a pullback of a Noetherian domain.

**Theorem 3.8** ([16, Theorem 2.2]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Noetherian ring if and only if  $\phi(R)$  is ring-isomorphic to a ring  $A$  obtained from the following pullback diagram:*

$$\begin{array}{ccc} A & \longrightarrow & S = A/M \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array}$$

where  $T$  is a zero-dimensional quasilocal ring containing  $A$  with maximal ideal  $M$ ,  $S = A/M$  is a Noetherian subring of  $T/M$ , the vertical arrows are the usual inclusion maps, and the horizontal arrows are the usual surjective maps.

**Theorem 3.9** ([16, Proposition 2.4]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and let  $I \neq R$  be an ideal of  $R$ . If  $I \subset \text{Nil}(R)$ , then  $R/I$  is a  $\phi$ -Noetherian ring. If  $I \not\subset \text{Nil}(R)$ , then  $\text{Nil}(R) \subset I$  and  $R/I$  is a Noetherian ring. Moreover, if  $\text{Nil}(R) \subset I$ , then  $R/I$  is both Noetherian and  $\phi$ -Noetherian if and only if  $I$  is either a prime ideal or a primary ideal whose radical is a maximal ideal.*

**Theorem 3.10** ([16, Corollary 2.5]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring. Then a homomorphic image of  $R$  is either a  $\phi$ -Noetherian ring or a Noetherian ring.*

Our next result shows that a  $\phi$ -Noetherian ring satisfies the conclusion of the Principal Ideal Theorem (and the Generalized Principal Ideal Theorem).

**Theorem 3.11** ([16, Theorem 2.7]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and let  $P$  be a prime ideal. If  $P$  is minimal over an ideal generated by  $n$  or fewer elements, then the height of  $P$  is less than or equal to  $n$ . In particular, each prime minimal over a nonnil element of  $R$  has height one.*

Other statements about primes of Noetherian rings that can be easily adapted to statements about primes of  $\phi$ -Noetherian rings include the following.

**Theorem 3.12** ([16, Proposition 2.8] and [40, Theorem 145]). *Let  $R \in \mathcal{H}$  satisfy the ascending chain condition on radical ideals. If  $R$  has an infinite number of prime ideals of height one, then their intersection is  $\text{Nil}(R)$ .*

**Theorem 3.13** ([16, Proposition 2.9]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and  $P$  be a nonnil prime ideal of  $R$  of height  $n$ . Then there exist nonnil elements  $a_1, \dots, a_n$  in  $R$  such that  $P$  is minimal over the ideal  $(a_1, \dots, a_n)$  of  $R$ , and for any  $i$  ( $1 \leq i \leq n$ ), every (nonnil) prime ideal of  $R$  minimal over  $(a_1, \dots, a_i)$  has height  $i$ .*

**Theorem 3.14** ([16, Proposition 2.10]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and let  $I$  be an ideal of  $R$  generated by  $n$  elements with  $I \neq R$ . If  $P$  is a prime ideal containing  $I$  with  $P/I$  of height  $k$ , then the height of  $P$  is less than or equal to  $n + k$ .*

**Theorem 3.15** ([16, Proposition 3.1]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and let  $P$  be a height  $n$  prime of  $R$ . If  $Q$  is a prime of  $R[x]$  that contracts to  $P$  but properly contains  $PR[x]$ , then  $PR[x]$  has height  $n$  and  $Q$  has height  $n + 1$ .*

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Similar height restrictions exist for the primes of  $R[x_1, \dots, x_m]$ .

**Theorem 3.16** ([16, Proposition 3.2]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and let  $P$  be a height  $n$  prime of  $R$ . If  $Q$  is a prime of  $R[x_1, \dots, x_m]$  that contracts to  $P$  but properly contains  $PR[x_1, \dots, x_m]$ , then  $PR[x_1, \dots, x_m]$  has height  $n$  and  $Q$  has height at most  $n + m$ . Moreover the prime  $PR[x_1, \dots, x_m] + (x_1, \dots, x_m)R[x_1, \dots, x_m]$  has height  $n + m$ .*

**Theorem 3.17** ([16, Corollary 3.3]). *If  $R$  is a finite dimensional  $\phi$ -Noetherian ring of dimension  $n$ , then  $\dim(R[x_1, \dots, x_m]) = n + m$  for each integer  $m > 0$ .*

In our next result, we show that each ideal of  $R[x]$  that contracts to a nonnil ideal of  $R$  is finitely generated.

**Theorem 3.18** ([16, Proposition 3.4]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring. If  $I$  is an ideal of  $R[x_1, \dots, x_n]$  for which  $I \cap R$  is not contained in  $\text{Nil}(R)$ , then  $I$  is a finitely generated ideal of  $R[x_1, \dots, x_n]$ .*

Since three distinct comparable primes of  $R[x]$  cannot contract to the same prime of  $R$ , a consequence of Theorem 3.18 is that the search for primes of  $R[x]$  that are not finitely generated can be restricted to those of height one. A similar statement can be made for primes of  $R[x_1, \dots, x_n]$ .

**Theorem 3.19** ([16, Corollary 3.5]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring and let  $P$  be a prime of  $R[x_1, \dots, x_n]$ . If  $P$  has height greater than  $n$ , then  $P$  is finitely generated.*

The ring in our next example shows that the converse of Theorem 3.18 does not hold even for prime ideals.

**Example 3.20** ([16, Example 3.6]). Let  $R = D(+)L$  be the idealization of  $L = K((y))/D$  over  $D = K[[y]]$ . Then  $R$  is a quasilocal  $\phi$ -Noetherian ring with nil-radical  $\text{Nil}(R)$  isomorphic to  $L$ . Consider the polynomial  $g(x) = 1 - yx$ . Since the coefficients of  $g$  generate  $D$  as an ideal and  $g$  is irreducible,  $P = gD[x]$  is a height-one principal prime of  $D[x]$  with  $P \cap D = (0)$ . Each nonzero element of  $L$  can be written in the form  $d/y^n$  where  $n$  is a positive integer,  $y$  denotes the image of  $y$  in  $L$  and  $d = d_0 + d_1y + \dots + d_{n-1}y^{n-1}$  with  $d_0 \neq 0$ . Given such an element, let  $f(x) = 1 + yx + \dots + y^{n-1}x^{n-1} \in L[x]$ . Then  $g(x)(df(x)/y^n) = d/y^n$  since  $dy^n/y^n = 0$  in  $L$ . It follows that  $g(x)R[x]$  is a height-one principal prime of  $R[x]$  that contracts to  $\text{Nil}(R)$ .

#### 4 $\phi$ -Prüfer rings and $\phi$ -Bezout rings

We say that a nonnil ideal  $I$  of  $R$  is  $\phi$ -invertible if  $\phi(I)$  is an invertible ideal of  $\phi(R)$ . Recall from [3] that  $R$  is called a  $\phi$ -Prüfer ring if every finitely generated nonnil ideal of  $R$  is  $\phi$ -invertible.

**Theorem 4.1** ([3, Corollary 2.10]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Prüfer ring;
- (ii)  $\phi(R)$  is a Prüfer ring;
- (iii)  $\phi(R)/\text{Nil}(\phi(R))$  is a Prüfer domain;
- (iv)  $R_P$  is a  $\phi$ -CR for each prime ideal  $P$  of  $R$ ;
- (v)  $R_P/\text{Nil}(R_P)$  is a valuation domain for each prime ideal  $P$  of  $R$ ;
- (vi)  $R_M/\text{Nil}(R_M)$  is a valuation domain for each maximal ideal  $M$  of  $R$ ;
- (vii)  $R_M$  is a  $\phi$ -CR for each maximal ideal  $M$  of  $R$ .

**Theorem 4.2** ([3, Theorem 2.11]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Prüfer ring and let  $S$  be a  $\phi$ -chained overring of  $R$ . Then  $S = R_P$  for some prime ideal  $P$  of  $R$  containing  $Z(R)$ .*

The following is an example of a ring  $R \in \mathcal{H}$  such that  $R$  is a Prüfer ring, but  $R$  is not a  $\phi$ -Prüfer ring.

**Example 4.3** ([3, Example 2.15]). Let  $n \geq 1$  and let  $D$  be a non-integrally closed domain with quotient field  $K$  and Krull dimension  $n$ . Set  $R = D(+)(K/D)$ . Then  $R \in \mathcal{H}$  and  $R$  is a Prüfer ring with Krull dimension  $n$  which is not a  $\phi$ -Prüfer ring.

**Theorem 4.4** ([3, Theorem 2.17]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Prüfer ring if and only if every overring of  $\phi(R)$  is integrally closed.*

**Example 4.5** ([3, Example 2.18]). Let  $n \geq 1$  and let  $D$  be a Prüfer domain with quotient field  $K$  and Krull dimension  $n$ . Set  $R = D(+)(K)$ . Then  $R \in \mathcal{H}$  is a (non-domain)  $\phi$ -Prüfer ring with Krull dimension  $n$ .

Recall from [21] that a ring  $R$  is said to be a *pre-Prüfer ring* if  $R/I$  is a Prüfer ring for every nonzero proper ideal  $I$  of  $R$ .

**Theorem 4.6** ([3, Theorem 2.19]). *Let  $R \in \mathcal{H}$  such that  $\text{Nil}(R) \neq \{0\}$ . Then  $R$  is a pre-Prüfer ring if and only if  $R$  is a  $\phi$ -Prüfer ring.*

The following example shows that the hypothesis  $\text{Nil}(R) \neq \{0\}$  in Theorem 4.6 is crucial.

**Example 4.7** ([3, Example 2.20] and [42, Example 2.9]). Let  $D$  be a Prüfer domain with quotient field  $F$ . For indeterminates  $X, Y$ , let  $K = F(Y)$  and let  $V$  be the valuation domain  $K + XK[[X]]$ . Then  $V$  is one-dimensional with maximal ideal  $M = XK[[X]]$ . Set  $R = D + M$ . Then  $\text{Nil}(R) = \{0\}$ , and  $R$  is a pre-Prüfer ring (domain) which is not a Prüfer ring (domain). Hence  $R$  is not a  $\phi$ -Prüfer ring.

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Recall from [3] that a ring  $R \in \mathcal{H}$  is said to be a  $\phi$ -Bezout ring if  $\phi(I)$  is a principal ideal of  $\phi(R)$  for every finitely generated nonnil ideal  $I$  of  $R$ . A  $\phi$ -Bezout ring is a  $\phi$ -Prüfer ring, but of course the converse is not true. A ring  $R$  is said to be a *Bezout ring* if every finitely generated regular ideal of  $R$  is principal.

**Theorem 4.8** ([3, Corollary 3.5]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Bezout ring;
- (ii)  $R/\text{Nil}(R)$  is a Bezout domain;
- (iii)  $\phi(R)/\text{Nil}(\phi(R))$  is a Bezout domain;
- (iv)  $\phi(R)$  is a Bezout ring;
- (v) Every finitely generated nonnil ideal of  $R$  is principal.

**Theorem 4.9** ([3, Theorem 3.9]). *Let  $R \in \mathcal{H}$  be quasi-local. Then  $R$  is a  $\phi$ -CR if and only if  $R$  is a  $\phi$ -Bezout ring.*

**Example 4.10** ([3, Example 3.8]). Let  $n \geq 1$  and let  $D$  be a Bezout domain with quotient field  $K$  and Krull dimension  $n$ . Set  $R = D(+)K$ . Then  $R \in \mathcal{H}$  is a (non-domain)  $\phi$ -Bezout ring with Krull dimension  $n$ .

## 5 $\phi$ -Dedekind rings

Let  $R \in \mathcal{H}$ . We say that a nonnil ideal  $I$  of  $R$  is  $\phi$ -invertible if  $\phi(I)$  is an invertible ideal of  $\phi(R)$ . If every nonnil ideal of  $R$  is  $\phi$ -invertible, then we say that  $R$  is a  $\phi$ -Dedekind ring.

**Theorem 5.1** ([4, Theorem 2.6]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Dedekind ring if and only if  $\phi(R)$  is ring-isomorphic to a ring  $A$  obtained from the following pullback diagram:*

$$\begin{array}{ccc} A & \longrightarrow & A/M \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array}$$

where  $T$  is a zero-dimensional quasilocal ring with maximal ideal  $M$ ,  $A/M$  is a Dedekind subring of  $T/M$ , the vertical arrows are the usual inclusion maps, and the horizontal arrows are the usual surjective maps.

**Example 5.2** ([4, Example 2.7]). Let  $D$  be a Dedekind domain with quotient field  $K$ , and let  $L$  be an extension ring of  $K$ . Set  $R = D(+)L$ . Then  $R \in \mathcal{H}$  and  $R$  is a  $\phi$ -Dedekind ring which is not a Dedekind domain.

We say that a ring  $R \in \mathcal{H}$  is  $\phi$ -(completely) integrally closed if  $\phi(R)$  is (completely) integrally closed in  $T(\phi(R)) = R_{\text{Nil}(R)}$ . The following characterization of  $\phi$ -Dedekind rings resembles that of Dedekind domains as in [40, Theorem 96].

**Theorem 5.3** ([4, Theorem 2.10]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is  $\phi$ -Dedekind;
- (ii)  $R$  is nonnil-Noetherian ( $\phi$ -Noetherian),  $\phi$ -integrally closed, and of dimension  $\leq 1$ ;
- (iii)  $R$  is nonnil-Noetherian and  $R_M$  is a discrete  $\phi$ -chained ring for each maximal ideal  $M$  of  $R$ .

A ring  $R$  is said to be a *Dedekind ring* if every nonzero ideal of  $R$  is invertible.

**Theorem 5.4** ([4, Theorem 2.12]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Dedekind ring. Then  $R$  is a Dedekind ring.*

The following is an example of a ring  $R \in \mathcal{H}$  which is a Dedekind ring but not a  $\phi$ -Dedekind ring.

**Example 5.5** ([4, Example 2.13]). Let  $D$  be a non-Dedekind domain with (proper) quotient field  $K$ . Set  $R = D(+)K/D$ . Then  $R \in \mathcal{H}$  and  $R = T(R)$ . Hence  $R$  is a Dedekind ring. Since  $R/\text{Nil}(R)$  is ring-isomorphic to  $D$ ,  $R$  is not a  $\phi$ -Dedekind ring by [4, Theorem 2.5].

It is well known that an integral domain  $R$  is a Dedekind domain iff every nonzero proper ideal of  $R$  is (uniquely) a product of prime ideals of  $R$ . We have the following result.

**Theorem 5.6** ([4, Theorem 2.15]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Dedekind ring if and only if every nonnil proper ideal of  $R$  is (uniquely) a product of nonnil prime ideals of  $R$ .*

**Theorem 5.7** ([4, Theorem 2.16]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Dedekind ring;
- (ii) Each nonnil proper principal ideal  $aR$  can be written in the form  $aR = Q_1 \cdots Q_n$ , where each  $Q_i$  is a power of a nonnil prime ideal of  $R$  and the  $Q_i$ 's are pairwise comaximal;
- (iii) Each nonnil proper ideal  $I$  of  $R$  can be written in the form  $I = Q_1 \cdots Q_n$ , where each  $Q_i$  is a power of a nonnil prime ideal of  $R$  and the  $Q_i$ 's are pairwise comaximal.

**Theorem 5.8** ([4, Theorem 2.20]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Dedekind ring;
- (ii) Each nonnil prime ideal of  $R$  is  $\phi$ -invertible;
- (iii)  $R$  is a nonnil-Noetherian ring and each nonnil maximal ideal of  $R$  is  $\phi$ -invertible.

**Theorem 5.9** ([4, Theorem 2.23]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Dedekind ring. Then every overring of  $R$  is a  $\phi$ -Dedekind ring.*

## 6 Factoring nonnil ideals into prime and invertible ideals

In this section, we give a generalization of the concept of factorization of ideals of an integral domain into a finite product of invertible and prime ideals which was extensively studied by Olberding [48] to the context of rings that are in the class  $\mathcal{H}$ . Observe that if  $R$  is an integral domain, then  $R \in \mathcal{H}$ . An ideal  $I$  of a ring  $R$  is said to be a *nonnil ideal* if  $I \not\subseteq \text{Nil}(R)$ . Let  $R \in \mathcal{H}$ . Then  $R$  is said to be a  $\phi$ -ZPUI ring if each nonnil ideal  $I$  of  $\phi(R)$  can be written as  $I = JP_1 \cdots P_n$ , where  $J$  is an invertible ideal of  $\phi(R)$  and  $P_1, \dots, P_n$  are prime ideals of  $\phi(R)$ . If every nonnil ideal  $I$  of  $R$  can be written as  $I = JP_1 \cdots P_n$ , where  $J$  is an invertible ideal of  $R$  and  $P_1, \dots, P_n$  are prime ideals of  $R$ , then  $R$  is said to be a *nonnil-ZPUI ring*. Commutative  $\phi$ -ZPUI rings that are in  $\mathcal{H}$  are characterized in [12, Theorem 2.9]. Examples of  $\phi$ -ZPUI rings that are not ZPUI rings are constructed in [12, Theorem 2.13]. It is shown in [12, Theorem 2.14] that a  $\phi$ -ZPUI ring is the pullback of a ZPUI domain. It is shown in [12, Theorem 3.1] that a nonnil-ZPUI ring is a  $\phi$ -ZPUI ring. Examples of  $\phi$ -ZPUI rings that are not nonnil-ZPUI rings are constructed in [12, Theorem 3.2]. We call a ring  $R \in \mathcal{H}$  a *nonnil-strongly discrete ring* if  $R$  has no nonnil prime ideal  $P$  such that  $P^2 = P$ . A ring  $R \in \mathcal{H}$  is said to be *nonnil-h-local* if each nonnil ideal of  $R$  is contained in at most finitely many maximal ideals of  $R$  and each nonnil prime ideal  $P$  of  $R$  is contained in a unique maximal ideal of  $R$ .

Since the class of integral domains is a subset of  $\mathcal{H}$ , the following result is a generalization of [48, Theorem 2.3].

**Theorem 6.1** ([12, Theorem 2.9]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -ZPUI ring;
- (ii) Every nonnil proper ideal of  $R$  can be written as a product of prime ideals of  $R$  and a finitely generated ideal of  $R$ ;
- (iii) Every nonnil proper ideal of  $\phi(R)$  can be written as a product of prime ideals of  $\phi(R)$  and a finitely generated ideal of  $\phi(R)$ ;
- (iv)  $R$  is a nonnil-strongly discrete nonnil-h-local  $\phi$ -Prüfer ring.

In the following result, we show that a nonnil-ZPUI ring is a  $\phi$ -ZPUI ring.

**Theorem 6.2** ([12, Theorem 3.1]). *Let  $R \in \mathcal{H}$  be a nonnil-ZPUI ring. Then  $R$  is a  $\phi$ -ZPUI ring, and hence all the following statements hold:*

- (i)  $R/\text{Nil}(R)$  is a ZPUI domain.
- (ii) Every nonnil proper ideal of  $R$  can be written as a product of prime ideals of  $R$  and a finitely generated ideal of  $R$ .

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- (iii) Every nonnil proper ideal of  $\phi(R)$  can be written as a product of prime ideals of  $\phi(R)$  and a finitely generated ideal of  $\phi(R)$ .
- (iv)  $R$  is a nonnil-strongly discrete nonnil- $h$ -local  $\phi$ -Prüfer ring.
- (v)  $R$  is a nonnil-strongly discrete nonnil- $h$ -local Prüfer ring.

Examples of  $\phi$ -ZPUI rings that are not nonnil-ZPUI rings are constructed in the following result.

**Theorem 6.3** ([12, Theorem 3.2]). *Let  $A$  be a ZPUI domain that is not a Dedekind domain with Krull dimension  $n \geq 1$  and quotient field  $K$ . Then  $R = A(+)K/A \in \mathcal{H}$  is a  $\phi$ -ZPUI ring with Krull dimension  $n$  which is not a nonnil-ZPUI ring.*

Olberding in [48, Corollary 2.4] showed that for each  $n \geq 1$ , there exists a ZPUI domain with Krull dimension  $n$ . A Dedekind domain is a trivial example of a ZPUI domain. We have the following result.

**Theorem 6.4** ([12, Theorem 2.13]). *Let  $A$  be a ZPUI domain (i.e.  $A$  is a strongly discrete  $h$ -local Prüfer domain by [48, Theorem 2.3]) with Krull dimension  $n \geq 1$  and quotient field  $F$ , and let  $K$  be an extension ring of  $F$  (i.e.  $K$  is a ring and  $F \subseteq K$ ). Then  $R = A(+)K \in \mathcal{H}$  is a  $\phi$ -ZPUI ring with Krull dimension  $n$  that is not a ZPUI ring.*

In the following result, we show that a  $\phi$ -ZPUI ring is the pullback of a ZPUI domain. A good paper for pullbacks is the article by Fontana [27].

**Theorem 6.5** ([12, Theorem 2.14]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -ZPUI ring if and only if  $\phi(R)$  is ring-isomorphic to a ring  $A$  obtained from the following pullback diagram:*

$$\begin{array}{ccc} A & \longrightarrow & A/M \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array}$$

where  $T$  is a zero-dimensional quasilocal ring with maximal ideal  $M$ ,  $A/M$  is a ZPUI ring that is a subring of  $T/M$ , the vertical arrows are the usual inclusion maps, and the horizontal arrows are the usual surjective maps.

## 7 $\phi$ -Krull rings

We say that a ring  $R \in \mathcal{H}$  is a *discrete  $\phi$ -chained ring* if  $R$  is a  $\phi$ -chained ring with at most one nonnil prime ideal and every nonnil ideal of  $R$  is principal. Recall from [4] that a ring  $R \in \mathcal{H}$  is said to be a  $\phi$ -Krull ring if  $\phi(R) = \cap V_i$ , where each  $V_i$  is a discrete  $\phi$ -chained overring of  $\phi(R)$ , and for every nonnilpotent element  $x \in R$ ,  $\phi(x)$  is a unit in all but finitely many  $V_i$ .

**Theorem 7.1** ([4, Theorem 3.1]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Krull ring if and only if  $R/\text{Nil}(R)$  is a Krull domain.*

We have the following pullback characterization of  $\phi$ -Krull rings.

**Theorem 7.2** ([4, Theorem 3.2]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Krull ring if and only if  $\phi(R)$  is ring-isomorphic to a ring  $A$  obtained from the following pullback diagram:*

$$\begin{array}{ccc} A & \longrightarrow & A/M \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array}$$

where  $T$  is a zero-dimensional quasilocal ring with maximal ideal  $M$ ,  $A/M$  is a Krull subring of  $T/M$ , the vertical arrows are the usual inclusion maps, and the horizontal arrows are the usual surjective maps.

**Example 7.3** ([4, Example 3.3]). Let  $D$  be a Krull domain with quotient field  $K$ , and let  $L$  be a ring extension of  $K$ . Set  $R = D(+)L$ . Then  $R \in \mathcal{H}$  and  $R$  is a  $\phi$ -Krull ring which is not a Krull domain.

It is well known [29, Theorem 3.6] that an integral domain  $R$  is a Krull domain if and only if  $R$  is a completely integrally closed Mori domain. We have a similar characterization for  $\phi$ -Krull rings.

**Theorem 7.4** ([4, Theorem 3.4]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Krull ring if and only if  $R$  is a  $\phi$ -completely integrally closed  $\phi$ -Mori ring.*

**Theorem 7.5** ([4, Theorem 3.5]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Krull ring which is not zero-dimensional. Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Prüfer ring;
- (ii)  $R$  is a  $\phi$ -Dedekind ring;
- (iii)  $R$  is one-dimensional.

It is well known that if  $R$  is a Noetherian domain, then  $R'$  is a Krull domain. In particular, an integrally closed Noetherian domain is a Krull domain. We have the following analogous result for nonnil-Noetherian rings.

**Theorem 7.6** ([4, Theorem 3.6]). *Let  $R \in \mathcal{H}$  be a nonnil-Noetherian ring. Then  $\phi(R)'$  is a  $\phi$ -Krull ring. In particular, if  $R$  is a  $\phi$ -integrally closed nonnil-Noetherian ring, then  $R$  is a  $\phi$ -Krull ring.*

It is known [40, Problem 8, page 83] that if  $R$  is a Krull domain in which all prime ideals of height  $\geq 2$  are finitely generated, then  $R$  is a Noetherian domain. We have the following analogous result for nonnil-Noetherian rings.

**Theorem 7.7** ([4, Theorem 3.7]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Krull ring in which all prime ideals of  $R$  with height  $\geq 2$  are finitely generated. Then  $R$  is a nonnil-Noetherian ring.*

For a ring  $R \in \mathcal{H}$ , let  $\phi_R$  denotes the ring-homomorphism  $\phi : T(R) \longrightarrow R_{\text{Nil}(R)}$ . It is well known [29, Proposition 1.9, page 8] that an integral domain  $R$  is a Krull domain if and only if  $R$  satisfies the following three conditions:

- (i)  $R_P$  is a discrete valuation domain for every height-one prime ideal  $P$  of  $R$ ;
- (ii)  $R = \bigcap R_P$ , the intersection being taken over all height-one prime ideals  $P$  of  $R$ ;
- (iii) Each nonzero element of  $R$  is in only a finite number of height-one prime ideals of  $R$ , i.e., each nonzero element of  $R$  is a unit in all but finitely many  $R_P$ , where  $P$  is a height-one prime ideal of  $R$ .

The following result is an analog of [29, Proposition 1.9, page 8].

**Theorem 7.8** ([4, Theorem 3.9]). *Let  $R \in \mathcal{H}$  with  $\dim(R) \geq 1$ . Then  $R$  is a  $\phi$ -Krull ring if and only if  $R$  satisfies the following three conditions:*

- (i)  $R_P$  is a discrete  $\phi$ -chained ring for every height-one prime ideal  $P$  of  $R$ ;
- (ii)  $\phi_R(R) = \bigcap \phi_{R_P}(R_P)$ , the intersection being taken over all height-one prime ideals  $P$  of  $R$ ;
- (iii) Each nonnilpotent element of  $R$  lies in only a finite number of height-one prime ideals of  $R$ , i.e., each nonnilpotent element of  $R$  is a unit in all but finitely many  $R_P$ , where  $P$  is a height-one prime ideal of  $R$ .

Recall that a ring  $R$  is called a *Marot ring* if each regular ideal of  $R$  is generated by its set of regular elements. A Marot ring is called a *Krull ring* in the sense of [38, page 37] if either  $R = T(R)$  or if there exists a family  $\{V_i\}$  of discrete rank-one valuation rings such that:

- (i)  $R$  is the intersection of the valuation rings  $\{V_i\}$ ;
- (ii) Each regular element of  $T(R)$  is a unit in all but finitely many  $V_i$ .

The following is an example of a ring  $R \in \mathcal{H}$  which is a Krull ring but not a  $\phi$ -Krull ring.

**Example 7.9** ([4, Example 3.12]). Let  $D$  be a non-Krull domain with (proper) quotient field  $K$ . Set  $R = D(+)K/D$ . Then  $R \in \mathcal{H}$  and  $R = T(R)$ . Hence  $R$  is a Krull ring. Since  $R/\text{Nil}(R)$  is ring-isomorphic to  $D$ ,  $R$  is not a  $\phi$ -Krull ring by Theorem 7.1.

## 8 $\phi$ -Mori rings

According to [46], a ring  $R$  is called a *Mori ring* if it satisfies a.c.c. on divisorial regular ideals. Let  $R \in \mathcal{H}$ . A nonnil ideal  $I$  of  $R$  is  $\phi$ -divisorial if  $\phi(I)$  is a divisorial ideal of  $\phi(R)$ , and  $R$  is a  $\phi$ -Mori ring if it satisfies a.c.c. on  $\phi$ -divisorial ideals.

The following is a characterization of  $\phi$ -Mori rings in terms of Mori rings in the sense of [46].

**Theorem 8.1** ([17, Theorem 2.2]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Mori ring if and only if  $\phi(R)$  is a Mori ring.*

The following is a characterization of  $\phi$ -Mori rings in terms of Mori domains.

**Theorem 8.2** ([17, Theorem 2.5]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Mori ring if and only if  $R/\text{Nil}(R)$  is a Mori domain.*

**Theorem 8.3** ([17, Theorem 2.7]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Mori ring. Then  $R$  satisfies a.c.c. on nonnil divisorial ideals of  $R$ . In particular,  $R$  is a Mori ring.*

The converse of Theorem 8.3 is not valid as it can be seen by the following example.

**Example 8.4** ([17, Example 2.8]). Let  $D$  be an integral domain with quotient field  $L$  which is not a Mori domain and set  $R = D(+)(L/D)$ , the idealization of  $L/D$  over  $D$ . Then  $R \in \mathcal{H}$  is a Mori ring which is not a  $\phi$ -Mori ring.

Example 8.18 shows how to construct a nontrivial Mori ring (i.e., where  $R \neq T(R)$ ) in  $\mathcal{H}$  which is not  $\phi$ -Mori.

**Theorem 8.5** ([17, Theorem 2.10]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Noetherian ring. Then  $R$  is both a  $\phi$ -Mori ring and a Mori ring.*

Given a Krull domain of the form  $E = L + M$ , where  $L$  is a field and  $M$  a maximal ideal of  $E$ , any subfield  $K$  of  $L$  gives rise to a Mori domain  $D = K + M$ . If  $L$  is not a finite algebraic extension of  $K$ , then  $D$  cannot be Noetherian (see [19, Section 4]). We make use of this in our next example to build a  $\phi$ -Mori ring which is neither an integral domain nor a  $\phi$ -Noetherian.

**Example 8.6** ([17, Example 2.11]). Let  $K$  be the quotient field of the ring  $D = \mathbb{Q} + X\mathbb{R}[[X]]$  and set  $R = D(+)K$ , the idealization of  $K$  over  $D$ . It is easy to see that  $\text{Nil}(R) = \{0\}(+)K$  is a divided prime ideal of  $R$ . Hence  $R \in \mathcal{H}$ . Now since  $R/\text{Nil}(R)$  is ring-isomorphic to  $D$  and  $D$  is a Mori domain but not a Noetherian domain, we conclude that  $R$  is a  $\phi$ -Mori ring which is not a  $\phi$ -Noetherian ring.

In light of Example 8.6,  $\phi$ -Mori rings can be constructed as in the following example.

**Example 8.7** ([17, Example 2.12]). Let  $D$  be a Mori domain with quotient field  $K$  and let  $L$  be an extension ring of  $K$ . Then  $R = D(+L)$ , the idealization of  $L$  over  $D$ , is in  $\mathcal{H}$ . Moreover,  $R$  is a  $\phi$ -Mori ring since  $R/\text{Nil}(R)$  is ring-isomorphic to  $D$  which is a Mori domain.

The following result is a generalization of [54, Theorem 1]. An analogous result holds for Mori rings when the chains under consideration are restricted to regular divisorial ideals whose intersection is regular [46, Theorem 2.22].

**Theorem 8.8** ([17, Theorem 2.13]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Mori ring if and only if whenever  $\{I_m\}$  is a descending chain of nonnil  $\phi$ -divisorial ideals of  $R$  such that  $\bigcap I_m \neq \text{Nil}(R)$ , then  $\{I_m\}$  is a finite set.*

Let  $D$  be an integral domain with quotient field  $K$ . If  $I$  is an ideal of  $D$ , then  $(D : I) = \{x \in K \mid xI \subseteq D\}$ . Mori domains can be characterized by the property that for each nonzero ideal  $I$ , there is a finitely generated ideal  $J \subset I$  such that  $(D : I) = (D : J)$  (equivalently,  $I_v = J_v$ ) ([51, Theorem 1]). Our next result generalizes this result to  $\phi$ -Mori rings.

**Theorem 8.9** ([17, Theorem 2.14]). *Let  $R \in \mathcal{H}$ . Then  $R$  is a  $\phi$ -Mori ring if and only if for any nonnil ideal  $I$  of  $R$ , there exists a nonnil finitely generated ideal  $J$ ,  $J \subset I$ , such that  $\phi(J)^{-1} = \phi(I)^{-1}$ , equivalently,  $\phi(J)_v = \phi(I)_v$ .*

In the following theorem we combine all of the different characterizations of  $\phi$ -Mori rings stated in this section.

**Theorem 8.10** ([17, Corollary 2.15]). *Let  $R \in \mathcal{H}$ . The following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Mori ring;
- (ii)  $R/\text{Nil}(R)$  is a Mori domain;
- (iii)  $\phi(R)/\text{Nil}(\phi(R))$  is a Mori domain;
- (iv)  $\phi(R)$  is a Mori ring.
- (v) If  $\{I_m\}$  is a descending chain of nonnil  $\phi$ -divisorial ideals of  $R$  such that  $\bigcap I_m \neq \text{Nil}(R)$ , then  $\{I_m\}$  is a finite set;
- (vi) For each nonnil ideal  $I$  of  $R$ , there exists a nonnil finitely generated ideal  $J$ ,  $J \subset I$ , such that  $\phi(J)^{-1} = \phi(I)^{-1}$ ;
- (vii) For each nonnil ideal  $I$  of  $R$ , there exists a nonnil finitely generated ideal  $J$ ,  $J \subset I$ , such that  $\phi(J)_v = \phi(I)_v$ .

The following result is a generalization of [54, Theorem 5].

**Theorem 8.11** ([17, Theorem 3.1]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Mori ring and  $I$  be a nonzero  $\phi$ -divisorial ideal of  $R$ . Then  $I$  contains a power of its radical.*

We recall a few definitions regarding special types of ideals in integral domains. For a nonzero ideal  $I$  of an integral domain  $D$ ,  $I$  is said to be strong if  $II^{-1} = I$ , strongly divisorial if it is both strong and divisorial, and  $v$ -invertible if  $(II^{-1})_v = D$ . We will extend these concepts to the rings in  $\mathcal{H}$ .

Let  $I$  be a nonnil ideal of a ring  $R \in \mathcal{H}$ . We say that  $I$  is strong if  $II^{-1} = I$ ,  $\phi$ -strong if  $\phi(I)\phi(I)^{-1} = \phi(I)$ , strongly divisorial if it is both strong and divisorial, strongly  $\phi$ -divisorial if it is both  $\phi$ -strong and  $\phi$ -divisorial,  $v$ -invertible if  $(II^{-1})_v = R$

and  $\phi$ - $v$ -invertible if  $(\phi(I)\phi(I)^{-1})_v = \phi(R)$ . Obviously,  $I$  is  $\phi$ -strong, strongly  $\phi$ -divisorial or  $\phi$ - $v$ -invertible if and only if  $\phi(I)$  is, respectively, strong, strongly divisorial or  $v$ -invertible.

In [51, Proposition 1], J. Querré proved that if  $P$  is a prime ideal of a Mori domain  $D$ , then  $P$  is divisorial when it is height one. In the same proposition, he incorrectly asserted that if the height of  $P$  is larger than one and  $P^{-1}$  properly contains  $D$ , then  $P$  is strongly divisorial. While it is true that such a prime must be strong, a (Noetherian) counterexample to the full statement can be found in [34]. What one can say is that  $P_v$  will be strongly divisorial (see [5]).

**Theorem 8.12** ([17, Theorem 3.3]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Mori ring and  $P$  be a (nonnil) prime ideal of  $R$ . If  $\text{ht}(P) = 1$ , then  $P$  is  $\phi$ -divisorial. If  $\text{ht}(P) \geq 2$ , then either  $\phi(P)^{-1} = \phi(R)$  or  $\phi(P)_v$  is strongly divisorial.*

**Note 7**  
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For a  $\phi$ -Mori ring  $R \in \mathcal{H}$ , let  $\mathcal{D}_m(R)$  denote the maximal  $\phi$ -divisorial ideals of  $R$ ; i.e., the set of nonnil ideals of  $R$  maximal with respect to being  $\phi$ -divisorial. The following result generalizes [25, Theorem 2.3] and [19, Proposition 2.1].

**Theorem 8.13** ([17, Theorem 3.4]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Mori ring such that  $\text{Nil}(R)$  is not the maximal ideal of  $R$ . Then the following hold:*

**Note 8**  
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- (a) *The set  $\mathcal{D}_m(R)$  is nonempty. Moreover,  $M \in \mathcal{D}_m(R)$  if and only if  $M/\text{Nil}(R)$  is a maximal divisorial ideal of  $R/\text{Nil}(R)$ .*
- (b) *Every ideal of  $\mathcal{D}_m(R)$  is prime.*
- (c) *Every nonnilpotent nonunit element of  $R$  is contained in a finite number of maximal  $\phi$ -divisorial ideals.*

As with a nonempty subset of  $R$ , a nonempty set of ideals  $\mathcal{S}$  is *multiplicative* if (i) the zero ideal is not contained in  $\mathcal{S}$ , and (ii) for each  $I$  and  $J$  in  $\mathcal{S}$ , the product  $IJ$  is in  $\mathcal{S}$ . Such a set  $\mathcal{S}$  is referred to as a multiplicative system of ideals and it gives rise to a generalized ring of quotients  $R_{\mathcal{S}} = \{t \in T(R) \mid tI \subset R \text{ for some } I \in \mathcal{S}\}$ . For each prime ideal  $P$ ,  $R_{(P)} = \{t \in T(R) \mid st \in R \text{ for some } s \in R \setminus P\} = R_{\mathcal{S}}$ , where  $\mathcal{S}$  is the set of ideals (including  $R$ ) that are not contained in  $P$ . Note that in general a localization of a Mori ring need not be Mori (see Example 8.18 below). On the other hand, if  $\mathcal{S}$  is a multiplicative system of regular ideals, then  $R_{\mathcal{S}}$  is a Mori ring whenever  $R$  is Mori ring ([46, Theorem 2.13]).

**Theorem 8.14** ([17, Theorem 3.5], and [17, Theorem 2.2]). *Let  $R$  be a  $\phi$ -Mori ring. Then*

- (a)  *$R_{\mathcal{S}}$  is a  $\phi$ -Mori ring for each multiplicative set  $\mathcal{S}$ .*
- (b)  *$R_P$  is a  $\phi$ -Mori ring for each prime  $P$ .*
- (c)  *$R_{\mathcal{S}}$  is a  $\phi$ -Mori ring for each multiplicative system of ideals  $\mathcal{S}$ .*
- (d)  *$R_{(P)}$  is a  $\phi$ -Mori ring for each prime ideal  $P$ .*

One of the well-known characterizations of Mori domains is that an integral domain  $D$  is a Mori domain if and only if (i)  $D_M$  is a Mori domain for each maximal divisorial ideal  $M$ , (ii)  $D = \bigcap D_M$  where the  $M$  range over the set of maximal divisorial ideals of  $D$ , and (iii) each nonzero element is contained in at most finitely many maximal divisorial ideals ([52, Théorème 2.1] and [54, Théorème I.2]). A similar statement holds for  $\phi$ -Mori rings. Note that in condition (ii), if  $D$  has no maximal divisorial ideals, the intersection is assumed to be the quotient field of  $D$ . For the equivalence, that means that  $D$  is its own quotient field. The analogous statement is that if  $\mathcal{D}_m$  is empty, then we have  $R = T(R) = R_{\text{Nil}(R)}$  with  $\text{Nil}(R)$  the maximal ideal.

**Theorem 8.15** ([17, Theorem 3.6]). *Let  $R \in \mathcal{H}$ . Then the following statements are equivalent:*

- (i)  $R$  is a  $\phi$ -Mori ring;
- (ii) (a)  $R_M$  is a  $\phi$ -Mori ring for each maximal  $\phi$ -divisorial  $M$ , (b)  $\phi(R) = \bigcap \phi(R)_{\phi(M)}$  where the  $M$  range over the set of maximal  $\phi$ -divisorial ideals, and (c) each non-nil element (ideal) is contained in at most finitely many maximal  $\phi$ -divisorial ideals;
- (iii) (a)  $R_{(M)}$  is a  $\phi$ -Mori ring for each maximal  $\phi$ -divisorial  $M$ , (b)  $\phi(R) = \bigcap \phi(R)_{\phi(M)}$  where the  $M$  range over the set of maximal  $\phi$ -divisorial ideals, and (c) each non-nil element (ideal) is contained in at most finitely many maximal  $\phi$ -divisorial ideals.

In [19], V. Barucci and S. Gabelli proved that if  $P$  is a maximal divisorial ideal of a Mori domain  $D$ , then the following three conditions are equivalent: (1)  $D_P$  is a discrete rank-one valuation domain, (2)  $P$  is  $v$ -invertible, and (3)  $P$  is not strong [19, Theorem 2.5]. A similar result holds for  $\phi$ -Mori rings.

**Theorem 8.16** ([17, Theorem 3.9]). *Let  $R \in \mathcal{H}$  be a  $\phi$ -Mori ring and  $P \in \mathcal{D}_m(R)$ . Then the following statements are equivalent:*

- (i)  $R_P$  is a discrete rank-one  $\phi$ -chained ring;
- (ii)  $P$  is  $\phi$ - $v$ -invertible;
- (iii)  $P$  is not  $\phi$ -strong.

Recall from [38] that if  $f(x) \in R[x]$ , then  $c(f)$  denotes the ideal of  $R$  generated by the coefficients of  $f(x)$ , and  $R(x)$  denotes the quotient ring  $R[x]_S$  of the polynomial ring  $R[x]$ , where  $S$  is the set of  $f \in R[x]$  such that  $c(f) = R$ .

**Theorem 8.17** ([17, Theorem 4.5]). *Let  $R$  be an integrally closed ring for which  $\text{Nil}(R) = Z(R) \neq \{0\}$ . Then the following statements are equivalent:*

- (1)  $R$  is  $\phi$ -Mori and the nilradical of  $T(R[x])$  is an ideal of  $R(x)$ ;
- (2)  $R(x)$  is  $\phi$ -Mori;

Note 9 added 'state-ments'

Note 10 replaced (i) etc. by (a) etc.; add some wording to improve line break?

Note 11 replace for which by with to improve line break?; added 'state-ments'; may we replace (1) etc. by (i) etc.?

- (3)  $R(x)$  is  $\phi$ -Noetherian;
- (4)  $R$  is  $\phi$ -Noetherian and the nilradical of  $T(R[x])$  is an ideal of  $R(x)$ ;
- (5) Each regular ideal of  $R$  is invertible;
- (6)  $R/\text{Nil}(R)$  is a Dedekind domain;
- (7)  $R$  is a  $\phi$ -Dedekind ring.

As mentioned above, a Mori ring is said to be nontrivial if it is properly contained in its total quotient ring. Our next example is of a nontrivial Mori ring that is in the set  $\mathcal{H}$  but is not a  $\phi$ -Mori ring.

**Example 8.18** ([17, Example 5.3]). Let  $E$  be a Dedekind domain with a maximal ideal  $M$  such that no power of  $M$  is principal (equivalently,  $M$  generates an infinite cyclic subgroup of the class group) and let  $D = E + xF[x]$ , where  $F$  is the quotient field of  $E$ . Let  $\mathcal{P} = \{ND \mid N \in \text{Max}(E) \setminus \{M\}\}$ ,  $B = \sum F/DP_\alpha$  where each  $P_\alpha \in \mathcal{P}$ , and let  $R = D(+ )B$ . Then the following hold:

- (a) If  $J$  is a regular ideal, then  $J = I(+ )B = IR$  for some ideal  $I$  that contains a polynomial in  $D$  whose constant term is a unit of  $E$ . Moreover, the ideal  $I$  is principal and factors uniquely as  $P_1^{r_1} \cdots P_n^{r_n}$ , where the  $P_i$  are the height-one maximal ideals of  $D$  that contain  $I$ .
- (b)  $R \neq T(R)$  since, for example, the element  $(1 + x, 0)$  is a regular element of  $R$  that is not a unit.
- (c)  $R$  is a nontrivial Mori ring but  $R$  is not  $\phi$ -Mori.
- (d)  $MR$  is a maximal  $\phi$ -divisorial ideal of  $R$ , but  $R_{MR}$  is not a Mori ring.

**Note 12**  
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Note 13  
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Note 14  
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**Note 15**  
T. Lucas =  
Th. Lucas  
(see [16,  
17])?

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